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PATENT APPLICATION #3

Docket No. 14591.10

PATENTS

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hicks et al.

Serial No.:

09/931,392

Confirmation No.:

1575

Filed:

August 16, 2001

For:

BUCKLE LANYARD CONNECTOR AND  
SYSTEM

} Art Unit  
3626

BOX: MISSING PARTS

Assistant Commissioner for Patents

Washington, DC 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed October 1, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- ☒ A joint signature Declaration, Power of Attorney and Petition executed by Bryan K. Hicks and Brandon Robert Mackay and comprising two (2) pages.
- ☒ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27.
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted.

The fee has been calculated below:

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE(x)	FEE	RATE(x)	FEE
BASIC FEE				\$370.00		\$740.00
TOT. CLAIMS	20 - 20	0	\$9.00	\$0.00	\$18.00	\$0.00
IND. CLAIMS	3 - 3	0	\$42.00	\$0.00	\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM		0	\$140.00	\$0.00	\$280.00	\$0.00
			TOTAL	\$ 370.00	TOTAL	\$ 0.00

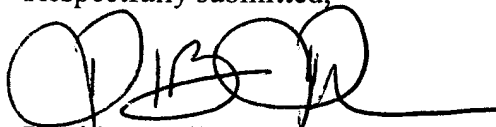
- ☒ The Commissioner is hereby authorized via the enclosed Credit Card Payment Form to charge in the amount of \$ 500.00 to cover the charges listed below and to credit any over payment to the credit card account number in the enclosed Credit Card Payment Form PTO-2038.
- ☒ The \$ 370.00 government filing fee.
- ☒ The \$ 130.00 surcharge fee pursuant to 37 C.F.R. § 1.16(e).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ A Certificate of Deposit Under 37 C.F.R. § 1.8.
- ☒ A Credit Card Payment Form PTO-2038.
- ☐ An Associate Power of Attorney.

☒ A duplicate copy of this letter.

Please address all future correspondence in connection with the above-identified application to the attention of the undersigned.

Dated this 11th day of October, 2001.

Respectfully submitted,



David B. Dellenbach  
Attorney for Applicant  
Registration No. 39,166



**022913**

PATENT TRADEMARK OFFICE

DBD:em

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hicks et al.

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09/931,392

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1575

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August 16, 2001

For:

# BUCKLE LANYARD CONNECTOR AND SYSTEM

}Art Unit  
}3626

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: BOX: MISSING PARTS, Assistant Commissioner for Patents, Washington, DC 20231 on this 11th day of October, 2001

- Response To Notice To File Missing Parts Of Application (3 pages)
- Declaration, Power of Attorney and Petition (two (2) pp.)
- A copy of the Notice to File Missing Parts of Application - Filing Date Granted
- A Credit Card Payment Form PTO-2038
- Certificate of Deposit
- Postcard

Dated this 11th day of October, 2001.

Respectfully submitted,

July Meckler

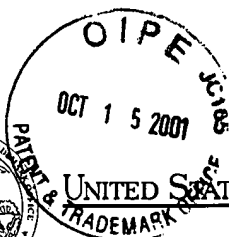
Emily Mecham, Assistant to  
David B. Dellenbach  
Attorney for Applicant  
Registration No. 39,166



022913

DBD:em

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,392	08/16/2001	Bryan K. Hicks	14591.10

CONFIRMATION NO. 1575

## FORMALITIES LETTER



\*OC000000006824740\*

David B. Dellenbach  
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60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UT 84111

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 SZEWDIE1 00000007 09931392

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP  
02 FC:205 65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE